

# AMS RESEARCH

## Team Biographies

The Manager Research department of Raymond James is a team dedicated to the research and evaluation of professional portfolio managers for all Asset Management Services (“AMS”) platforms. The three main functions of this department are investment manager selection, analysis and monitoring of selected products, and communication to financial advisors and clients in regards to Raymond James Consulting Services (“RJCS”), Freedom model portfolios, Unified Managed Accounts, and Multiple Discipline Account managers.

### **Tom S. Thornton, CFA, CAIA, CIPM**

#### *Senior Vice President – Research Director*

- Bachelor of Science, Finance from the University of Illinois
- Started in industry in 1988, with Raymond James since 1990
- Holds Chartered Financial Analyst designation, 1998
- Holds Chartered Alternative Investment Analyst designation, 2019
- Holds Certificate in Investment Performance Measurement, 2013
- Licenses: Series 6, 7, 63, & 65
- Memberships: CFA Institute, CIPM Association, Financial Analysts of Tampa Bay, CAIA Institute
- Committees: Chairman- AMS Investment Committee, RJA Mutual Fund Research Committee, Raymond James Investment Strategy Committee

### **Kevin M. Pate, CAIA**

#### *Vice President – Asset Allocation*

- Bachelor of Science, Finance from Florida State University
- Started in industry in 1996, with Raymond James since 1996
- Holds Chartered Alternative Investment Analyst designation, 2012
- Licenses: Series 7, 24, & 66
- Memberships: Investment Management Consultants Association (IMCA), CAIA Institute
- Committees: AMS Investment Committee, Raymond James Investment Strategy Committee

### **Glenn W. Hudson**

#### *Due Diligence Officer – Manager Research*

- Started in industry in 1995, with Raymond James since 1995
- Licenses: Series 7, 8, 24, 55, 63 & 65

### **Johnny Suarez**

#### *Due Diligence Officer – Manager Research*

- Bachelor of Science, Finance from University of South Florida, Magna Cum Laude
- Started in industry in 2004, with Raymond James since 2006
- Fluent in Spanish

### **Jeff K. Gardner, CFA**

#### *Due Diligence Officer – Manager Research (Memphis)*

- Master of Business Administration, University of Memphis
- Bachelor of Business Administration, Finance, University of Memphis
- Started in industry in 2004, with Morgan Keegan since 2005, with Raymond James since 2013
- Holds Chartered Financial Analyst designation, 2013
- Licenses: Series 7 & 66
- Memberships: CFA Institute

### **Nick Lacy, CFA**

#### *Chief Portfolio Strategist – Asset Allocation*

- Master of Business Administration, University of Tampa
- Bachelor of Science, Finance from University of South Florida
- Bachelor of Arts, Political Science from University of South Florida
- Started in industry in 1995, with Raymond James since 2006
- Holds Chartered Financial Analyst designation, 2005
- Memberships: CFA Institute, Investment Management Consultants Association (IMCA), Financial Analysts of Tampa Bay
- Committees: AMS Investment Committee, Raymond James Investment Strategy Committee

### **Andrew M. Read, CFA**

#### *Vice President – Manager Research*

- Bachelor of Science, Applied Economics and Management from Cornell University
- Started in industry in 2003, with Raymond James since 2005
- Holds Chartered Financial Analyst designation, 2008
- Licenses: Series 6, 7 and 63
- Memberships: CFA Institute
- Committees: AMS Investment Committee

### **Lawrence D. Gillum, CFA, CAIA**

#### *Due Diligence Officer – Manager Research*

- Master of Business Administration, University of North Carolina, Kenan-Flagler Business School
- Bachelor of Science Business Administration, University of Florida
- Started in Industry 1998, with Raymond James from 1998-2004, 2010 to present
- Holds Chartered Financial Analyst designation, 2004, and a Chartered Alternative Investment Analyst designation, 2011
- Licenses: Series 7 & 66
- Memberships: CFA Institute, CFA Society of Chicago, CAIA Institute

### **Mike Meleen**

#### *Due Diligence Officer – Asset Allocation*

- Bachelor of Science, Finance from University of South Florida
- Started in industry in 2005, with Raymond James since 2005
- Licenses: Series 7

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### Patrick Rassi, CAIA

#### *Senior Due Diligence Analyst – Manager Research (Memphis)*

- Master of Business Administration, University of Memphis
- Bachelor of Science, Electrical Engineering, University of Kentucky
- Started in industry in 1999 with Morgan Keegan, with Raymond James since 2013
- Holds Chartered Alternative Investment Analyst designation, 2012
- Licenses: Series 7
- Has successfully completed Level II of the CFA Program
- Memberships: CAIA Institute
- Fluent in French, Arabic and Italian

### Mark Arkelian, CFA

#### *Senior Research Analyst – Asset Allocation*

- Masters of Business Administration, University of Florida
- Bachelor of Science in Business Administration, Finance, Xavier University
- Started in industry in 2005, with Raymond James since 2014
- Holds Chartered Financial Analyst designation, 2013
- Retirement Income Certified Professional (RICP®), 2016
- Licenses: Series 7, 66
- Memberships: CFA Institute, CFA Society of Tampa Bay

### Sandrina Riddell, CFA

#### *Due Diligence Analyst – Asset Allocation*

- Bachelor of Arts, Economics with minor in Marketing from Spiru Haret University
- Started in the industry in 2006, with Raymond James since 2015
- Holds Chartered Financial Analyst designation, 2014
- Memberships: CFA Institute
- Fluent in Romanian

### Daniel Schutz

#### *Due Diligence Analyst – Asset Allocation*

- Master of Science, International Securities, Investments and Banking, ICMA Centre
- Bachelor of Arts, Philosophy, University of Florida
- Started in industry in 2013, with Raymond James since 2015
- Licenses: Series 7 and 3
- Has successfully completed Level II of the CFA Program

### Eric McNew, CFA

#### *Due Diligence Analyst – Asset Allocation*

- Bachelor of Science, Finance from University of South Florida
- Started in industry in 2014, with Raymond James since 2015
- Holds Chartered Financial Analyst designation, 2018
- Licenses: Series 7

### Drew T. Gillis, CAIA

#### *Senior Due Diligence Analyst – Manager Research*

- Master of Business Administration, University of Miami
- Bachelor of Science, Finance with minor in Economics, University of Florida
- Started in industry in 2000, with Raymond James since 2002
- Holds Chartered Alternative Investment Analyst designation, 2013
- Licenses: Series 7 and 66
- Has successfully completed Level I of the CFA Program
- Memberships: CAIA Institute

### Jeremy D. Brothers, CFA, FRM

#### *Senior Due Diligence Analyst – Asset Allocation*

- Bachelor of Science, Natural Resources from University of Idaho
- Started in industry in 2007, with Raymond James since 2011
- Licenses: Series 7, 66, 24 & 53
- Holds Chartered Financial Analyst designation, 2016

### Andrew Flinger

#### *Due Diligence Analyst – Manager Research*

- Master of Business Administration from University of Tampa
- Master of Science, Finance from University of Tampa
- Bachelor of Science, Finance from University of Central Florida
- Started in industry in 2012, with Raymond James since 2012
- Has successfully completed Level I of the CFA Program
- Licenses: Series 7

### Tyler D. Martinasek, CFA

#### *Due Diligence Analyst – Manager Research*

- Master of Business Administration, University of Tampa
- Master of Science, Finance, University of Tampa
- Bachelor of Science, Finance, University of South Florida
- Started in industry in 2014, with Raymond James since 2014
- Holds Chartered Financial Analyst designation, 2018
- Licenses: Series 7

### Carlos R. Panatex, CFA

#### *Due Diligence Analyst – Manager Research*

- Master of Business Administration, University of Florida
- Bachelor of Science, Business Administration, University of Central Florida
- Started in industry in 2014, with Raymond James since 2014
- Holds Chartered Financial Analyst designation, 2018

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### W. Alex Bolton

#### *Due Diligence Analyst – Manager Research*

- Bachelor of Science, in Economics and Finance with a specialization in Accounting from the University of Alabama
- Started in industry in 2015, with Raymond James since 2017
- Has successfully completed Level III of the CFA Program

### John Cheng CFA, CAIA

#### *Due Diligence Analyst – Manager Research*

- Master of Business Administration, University of Arkansas
- Bachelor of Arts in Economics and English, Xi'an Jiaotong University
- Started in industry in 2016, with Raymond James since 2016
- Holds Chartered Financial Analyst designation, 2017
- Holds Chartered Alternative Investment Analyst designation, 2017
- Fluent in Mandarin

### Logan Grosenbacher

#### *Due Diligence Analyst – Manager Research*

- Bachelor of Science, Finance with minor in Accounting from University of Arkansas
- Started in industry in 2017, with Raymond James since 2017
- Has successfully completed Level I of the CFA Program
- Licenses: Series 7 and 66

### Viktoriia Tondre

#### *Due Diligence Analyst – Manager Research*

- Bachelor of Science, Finance from University of South Florida; Summa Cum Laude
- Started industry in 2017, with Raymond James since 2019
- Has successfully completed Level I of the CFA Program
- Licenses: Series 7 & 66
- Fluent in Russian and Ukrainian

### Leon R. Faust

#### *Due Diligence Analyst , Performance – Manager Research*

- Bachelor of Science, Finance from University of South Florida
- Started in industry in 1999, with Raymond James since 1999

### Truc T. Bui

#### *Due Diligence Analyst, Performance – Manager Research*

- Started in industry in 2008, with Raymond James since 2008

### Laura Tsiguloff

#### *Due Diligence Analyst – Manager Research*

- Bachelor of Science, Finance with minor in Hospitality from University of Central Florida
- Started in industry in 2015, with Raymond James since 2015

### James A. Dowdle

#### *Due Diligence Analyst – Manager Research*

- Bachelor of Science, Applied Science in Mechanical Engineering from Washington University In St. Louis
- Started in industry in 2016, with Raymond James since 2016
- Has successfully completed Level I of the CFA Program
- Licenses: Series 7

### Nancy Jaimes

#### *Due Diligence Analyst – Manager Research*

- Bachelor of Science, Finance from University of South Florida
- Started industry in 2017, with Raymond James since 2017
- Has successfully completed Level II of the CFA Program
- Fluent in Spanish

### Richard A. Gallo, Jr.

#### *Due Diligence Analyst – Asset Allocation*

- Bachelor of Arts, Economics with minor in Mathematics from University of Florida Honors Program; Summa Cum Laude, Elected to Phi Beta Kappa
- Master of Financial Economics, University of Oxford Saïd Business School
- Started in industry in 2018, with Raymond James since 2018
- Has successfully completed Level I of the CFA Program

### Dusica Tomasevic

#### *Due Diligence Analyst – Asset Allocation*

- Bachelor of Science, Marketing from Faculty of Economics at University of Belgrade
- Started in industry in 2007, with Raymond James since 2007
- Fluent in Serbian

### Lisa Marshall

#### *Due Diligence Research Liaison – Manager Research*

- Bachelor of Arts, Studio Art from Arizona State University
- Started in industry in 2000, with Raymond James since 2000

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As of 10/1/19 / AMS19-2621835 Expires: 6/28/20